

WWF Environmental and Social Safeguards - Risk Categorization Memorandum

Project Name: Heritage Colombia (HECO): Maximizing the contributions of Sustainably Managed Landscapes in Colombia for achievement of Climate Goals	Date: 04/14/2021																					
Executing Agency/ies: Fundo Patrimonio Nacional, Parques Nacionales Naturales, WWF Colombia																						
Landscape Categorization on E&S Risks: High Risk - (A) ___ and/or (Special Consideration) ___ /Medium Risk (B) <u>X</u> / Low Risk (C)___																						
<table border="1" style="width: 100%; border-collapse: collapse; text-align: center;"> <thead> <tr> <th style="width: 50%; padding: 5px;">Substantive Safeguard Standards Triggered:</th> <th style="width: 25%; padding: 5px;">Yes</th> <th style="width: 25%; padding: 5px;">No</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">Natural Habitats</td> <td style="padding: 5px;">X</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">Pest Management</td> <td style="padding: 5px;"></td> <td style="padding: 5px;">X</td> </tr> <tr> <td style="padding: 5px;">Indigenous Peoples</td> <td style="padding: 5px;">X</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">Restriction of Access & Resettlement</td> <td style="padding: 5px;">X</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">Community Health, Safety and Security</td> <td style="padding: 5px;">X</td> <td style="padding: 5px;"></td> </tr> <tr> <td style="padding: 5px;">Physical and Cultural Resources</td> <td style="padding: 5px;"></td> <td style="padding: 5px;">X</td> </tr> </tbody> </table>		Substantive Safeguard Standards Triggered:	Yes	No	Natural Habitats	X		Pest Management		X	Indigenous Peoples	X		Restriction of Access & Resettlement	X		Community Health, Safety and Security	X		Physical and Cultural Resources		X
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<p>In addition to the Substantive Safeguards above, the four Process Standards apply to all projects:</p> <ul style="list-style-type: none"> ● Environmental and Social Risk Management ● Consultation and Disclosure ● Community Stakeholder Engagement ● Grievance Mechanism 																						

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Summary of Key Safeguard Issues

Heritage Colombia (HECO) will generate significant mitigation and adaptation benefits through a paradigm shifting landscape approach in Colombia associated with a proven model for securing long-term financing to support increase of the effective management of the nation's protected areas network (SINAP, after its Spanish name). Carbon emission reductions will primarily be achieved by reducing drivers of deforestation, while adaptation benefits will be derived from the protection and restoration of healthy ecosystems through improved management of existing protected areas and adjacent lands and expansion of the protected areas network. This approach is fully consistent with national climate policy and NDC priorities, with the GCF investment embedded in a country-wide commitment to long-term management of protected areas, with HECO as its centerpiece.

By implementing its proposed activities, the Project will contribute to achieving the two primary fund-level impacts targeted: (i) Reduced emissions from land use, reforestation, reduced deforestation, and through sustainable forest management and conservation and enhancement of forest carbon stocks; (ii) Improved resilience of ecosystems and ecosystem services. The project components that will lead to the achievement of the proposed impacts are:

Component 1: Effective management of sustainable resilient landscapes. This component will declare two new protected areas, improve the effectiveness of protected area management and its contributions to climate mitigation and adaptation, and strengthen protected areas land use control and surveillance programs.

Component 2: Local governance and territorial planning of sustainable and climate-resilient landscapes. This component will increase functional and structural activity at the landscape scale, promote sustainable and climate-friendly livelihoods to enhance socioecological resilience to climate change, provide technical assistance and implement capacity building programs to local communities and production sectors for climate-smart landscape management, improve land use planning to address deforestation and land degradation, improve water management resources to reduce human vulnerability to climate change, and mobilize financial resources to increase protected area effectiveness and landscape management.

Component 3: Generation of climate and ecosystem services and its corresponding monitoring systems to support decision making. This component will strengthen and increase information management capacities to monitor deforestation, climate change, and water regulation at local and regional levels as well as strengthen national initiatives for monitoring forests, carbon storage and deforestation and the national hydro-meteorological information network.

The proposed project has been screened according to the Standard on Environmental and Social Risk Management and has been categorized as a Category "B" project, given that it is essentially a climate mitigation, adaption, and conservation initiative expected to generate significant positive and durable social, economic and environmental benefits. Any adverse environmental and social impacts are site specific and can be mitigated. Since the exact location and/or nature of potential investments have not yet been determined, an Environmental and Social Management Framework (including an Indigenous Peoples Planning Framework and a Process Framework) will be prepared to conform to WWF's Environment and Social Safeguards Framework.

Standard on Natural Habitat: Overall, activities of the Project will produce significant conservation and climate mitigation and adaptation benefits and any potential adverse environmental impacts on human populations or important natural habitats are expected to be very limited. While there shall be no conversion or degradation of natural habitats, this Standard has been triggered as a precaution to encourage the Executing Entity to be more cautious with the environmental assessment process when carrying out activities inside sensitive ecosystems (e.g. construction work).

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Standard on Restriction of Access and Resettlement: There will be no land acquisition or involuntary resettlement of individuals and/or families under the proposed project. This standard is triggered because there will be two new NPAs created (in Patía and in Serranía de San Lucas) and one NPA expanded (Sierra Nevada de Santa Marta National Natural Park) under the GCF HECO project. The project includes activities to ensure effective management of NPAs and corridors; to implement conservation, use and management agreements; and to establish community water associations. These activities may restrict or prohibit the extraction of resources in certain areas of the NPAs and corridors, thereby restricting access to resources required for the subsistence and cultural maintenance of the affected populations. A Process Framework will be prepared as part of the ESMF to conform to WWF's Environment and Social Safeguards Framework.

Standard on Indigenous People: This standard is triggered because there are indigenous and afro-descendant communities living in the Caribbean mosaic. The indigenous people in the Caribbean mosaic are the Kogui, Kankuamo, and the Arhuacos. Afrocolombian communities include those in Guacoeche and Guacochito administrative districts and in the community councils of Arcilia, Tunez and Cardona located in the rural area surrounding the city of Valledupar in the department of Cesar; and the community council of Obatalá located in the municipality of Fundación within the department of Magdalena. These communities still do not have collective property titles over their territories, but they are recognized as Councils of Black Communities under national legislation. As the specific activities and locations of the project's activities are not yet defined, an Indigenous Peoples Planning Framework will be prepared as part of the ESMF to conform to WWF's Environment and Social Safeguards Framework.

Standard on Pest Management: The activities are not expected to trigger the Standard on Pest Management. The Project will not support the procurement or use of pesticides or other agricultural chemicals, or lead to the increased use of such chemicals. The ESMF will include guidance to this effect.

Standard on Cultural Resources: This standard is not triggered as the project is highly unlikely to have an impact on cultural resources.

Standard on Community Health, Safety and Security: While the project is in general expected to have positive, neutral or minimal impacts on community health, safety and security, this Standard is triggered due to activities involving small-scale construction works and patrolling. The ESMF will include guidance labor and working conditions and proper ranger conduct, respectively, to address these minor risks.

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Summary of Cross Cutting Issues; (Human Rights, Gender Equality and Women’s Rights, Children’s Rights, Conflict Sensitivity and Climate Change).

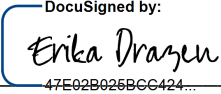
Conflict Sensitivity: Because of the post-conflict context where this project will work, an analysis of how these conflicts overlay with the HECO project activities is necessary to understand impact of project on these conflicts and vice versa. This analysis and any resulting mitigation measures will be included in the ESMF.

Required Actions for Impact Assessment (ESIA) and Mitigation Framework (ESMF):

Environmental and Social Assessment (ESA)

Environmental and Social Management Framework (ESMF), including an Indigenous Peoples Planning Framework (IPPF) and a Process Framework (PF)

Stakeholder Engagement Plan (SEP)

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Date: 4/15/2021

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Position: Lead Safeguards Specialist

Signature:  _____
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