Targeting Natural Resource Corruption

Harnessing knowledge, generating evidence, and supporting innovative policy and practice for more effective anti-corruption programming

© Georgina Goodwin / Shoot The Earth / WWF-UK; © Hkun Lat / WWF-Aus; © naturepl.com / Jen Guyton / WWF; © Brian J. Skerry / National Geographic Stock / WWF

This event is made possible by the generous support of the American people through the United States Agency for International Development (USAID). The contents are the responsibility of the Targeting Natural Resource Corruption project and do not necessarily reflect the views of USAID, the United States Government, or individual TNRC consortium members.

TNRC Learning Series

Can strengthening internal controls prevent corruption behind natural resource crimes?

Juhani Grossmann
Basel Institute on Governance

Dr. Rebecca Anne Batts
Basel Institute on Governance

Melinda Gularso
Basel Institute on Governance, Indonesia

Carlos Vargas
Basel Institute on Governance, Peru

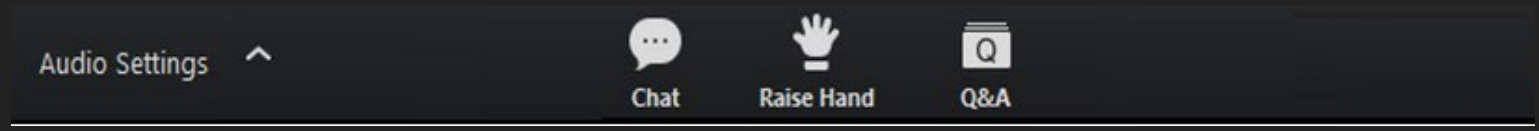
Brighton Kumchedwa
Department of National Parks and Wildlife, Malawi

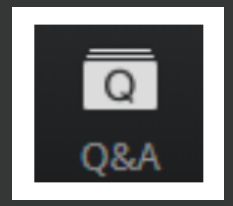
Christopher Jagger
TRAFFIC

Gabriel Sipos
TRAFFIC

USAID FROM THE AMERICAN PEOPLE · WWF · CMI | U4 ANTI-CORRUPTION RESOURCE CENTRE · TRACCC · TRAFFIC · BASEL INSTITUTE ON GOVERNANCE

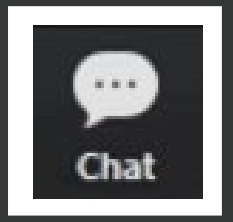
Get Engaged





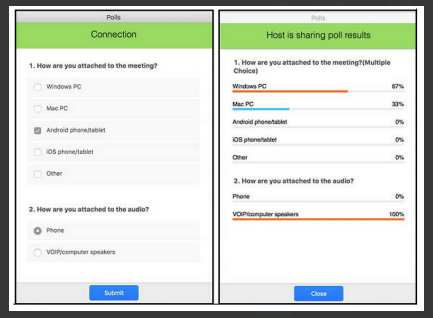
1. Pose questions at any time by clicking on the “Q&A” icon

Panelists will reply directly or answer live during the moderated discussion



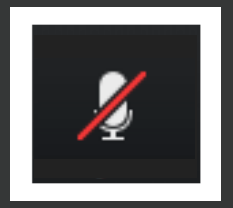
2. Exchange thoughts and introduce yourself in the chat

The chat is visible to all, unless otherwise directed



3. Respond to polls as they are launched

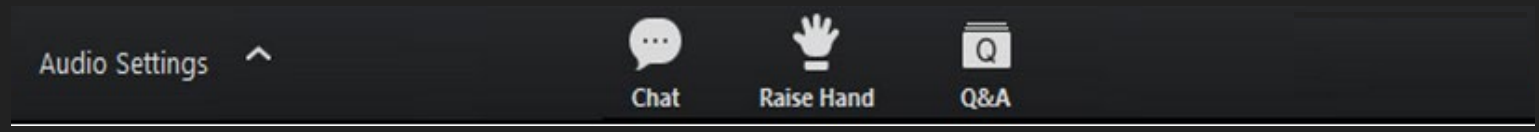
Please make your selections and remember to click “submit”



4. Note: All participant lines are muted

Given high attendance in this webinar, all audience lines will remain muted

Get Engaged



5. Select your preferred language by clicking on the "interpretation" icon

POLL

What is an internal control system?

a. A tool to track down those who don't follow the agency's official position
b. A mechanism that allows agencies to achieve their objectives
c. A building's plumbing and wiring sensor dashboard
d. An inner-agency anti-corruption investigation team

Can strengthening internal controls prevent corruption behind natural resource crimes?

Juhani Grossmann
Green Corruption Programme,
Basel Institute on Governance

Can strengthening internal controls prevent corruption behind natural resource crimes?

Dr. Rebecca Anne Batts
Lead Researcher, Internal Controls,
Basel Institute on Governance

Can strengthening internal controls prevent corruption behind natural resource crimes?

Brighton Kumchedwa
Director,
Department of National Parks and Wildlife, Malawi

Can strengthening internal controls prevent corruption behind natural resource crimes?

Melinda Gularso
Researcher,
Basel Institute on Governance, Indonesia

Internal Controls for Corruption Prevention
Initial findings and recommendations for NRM Agencies

Green Corruption Program | December 2022

BASEL INSTITUTE ON GOVERNANCE

Targeting Natural Resource Corruption

The TNRC project is made possible by the generous support of the American people through the United States Agency for International Development (USAID). The content is the responsibility of the Targeting Natural Resource Corruption (TNRC) project and does not necessarily reflect the views of USAID, the United States Government, or individual TNRC consortium members.

WWF® and ©1986 Panda Symbol are owned by WWF. All rights reserved.

USAID FROM THE AMERICAN PEOPLE

WWF

CMI | U4 ANTI-CORRUPTION RESOURCE CENTRE

TraCCC

TRAFFIC the wildlife trade monitoring network

Overall findings and notable trends

Based on our existing studies, limitations on internal controls policies are related to the following aspects:

1. **Policies may be incomplete, too broad and/or outdated**, which may increases the “grey areas” of corrupt practices

2. **Policies may create unintended chilling effects** that lead wildlife personnel to (1) deprioritize wildlife issues and (2) defer themselves to stand up against corrupt practices

3. **Policy implementation gap remains significant,** mainly due to a lack of resources and limited awareness of issues

BASEL INSTITUTE ON GOVERNANCE

Targeting Natural Resource Corruption

The TNRC project is made possible by the generous support of the American people through the United States Agency for International Development (USAID). The content is the responsibility of the Targeting Natural Resource Corruption (TNRC) project and does not necessarily reflect the views of USAID, the United States Government, or individual TNRC consortium members.

WWF® and ©1986 Panda Symbol are owned by WWF. All rights reserved.

USAID FROM THE AMERICAN PEOPLE

WWF

CMI | U4 ANTI-CORRUPTION RESOURCE CENTRE

TraCCC

TRAFFIC the wildlife trade monitoring network

Differences

Variations are apparent across countries of focus, respective to their own control environments…

Strong leadership may play a significant role in a complex inter-governmental structure within the wildlife and conservation areas

Training and capacity building may not always be the answers, if not supported by comprehensive integrity monitoring and evaluation mechanisms

Lack of resources may also have effects on the personnel systems (i.e. choice to ”outsource” personnel), not only on program implementation

BASEL INSTITUTE ON GOVERNANCE

Targeting Natural Resource Corruption

The TNRC project is made possible by the generous support of the American people through the United States Agency for International Development (USAID). The content is the responsibility of the Targeting Natural Resource Corruption (TNRC) project and does not necessarily reflect the views of USAID, the United States Government, or individual TNRC consortium members.

WWF® and ©1986 Panda Symbol are owned by WWF. All rights reserved.

USAID FROM THE AMERICAN PEOPLE

WWF

CMI | U4 ANTI-CORRUPTION RESOURCE CENTRE

TraCCC

TRAFFIC the wildlife trade monitoring network

Recommendations

Detailed recommendations are prepared for each institutions, which usually falls on these following areas…

- **Review and development of a more-detailed policies** that provide a more concrete guidance for employees in carry out their works
- **Enhancing awareness of control policies** through increasing dissemination and popularization of policies
- **Enacting collaboration across units and expanding the monitoring ability of existing integrity units** to limit the implementation gaps

BASEL INSTITUTE ON GOVERNANCE

Targeting Natural Resource Corruption

The TNRC project is made possible by the generous support of the American people through the United States Agency for International Development (USAID). The content is the responsibility of the Targeting Natural Resource Corruption (TNRC) project and does not necessarily reflect the views of USAID, the United States Government, or individual TNRC consortium members.

WWF® and ©1986 Panda Symbol are owned by WWF. All rights reserved.

Thank you

Can strengthening internal controls prevent corruption behind natural resource crimes?

Christopher Jagger
Senior Manager - Global Law Enforcement Support (Lead),
TRAFFIC

Gabriel Sipos
Anti-Corruption Coordinator,
TRAFFIC

Corruption
The dark side of conservation

Overview

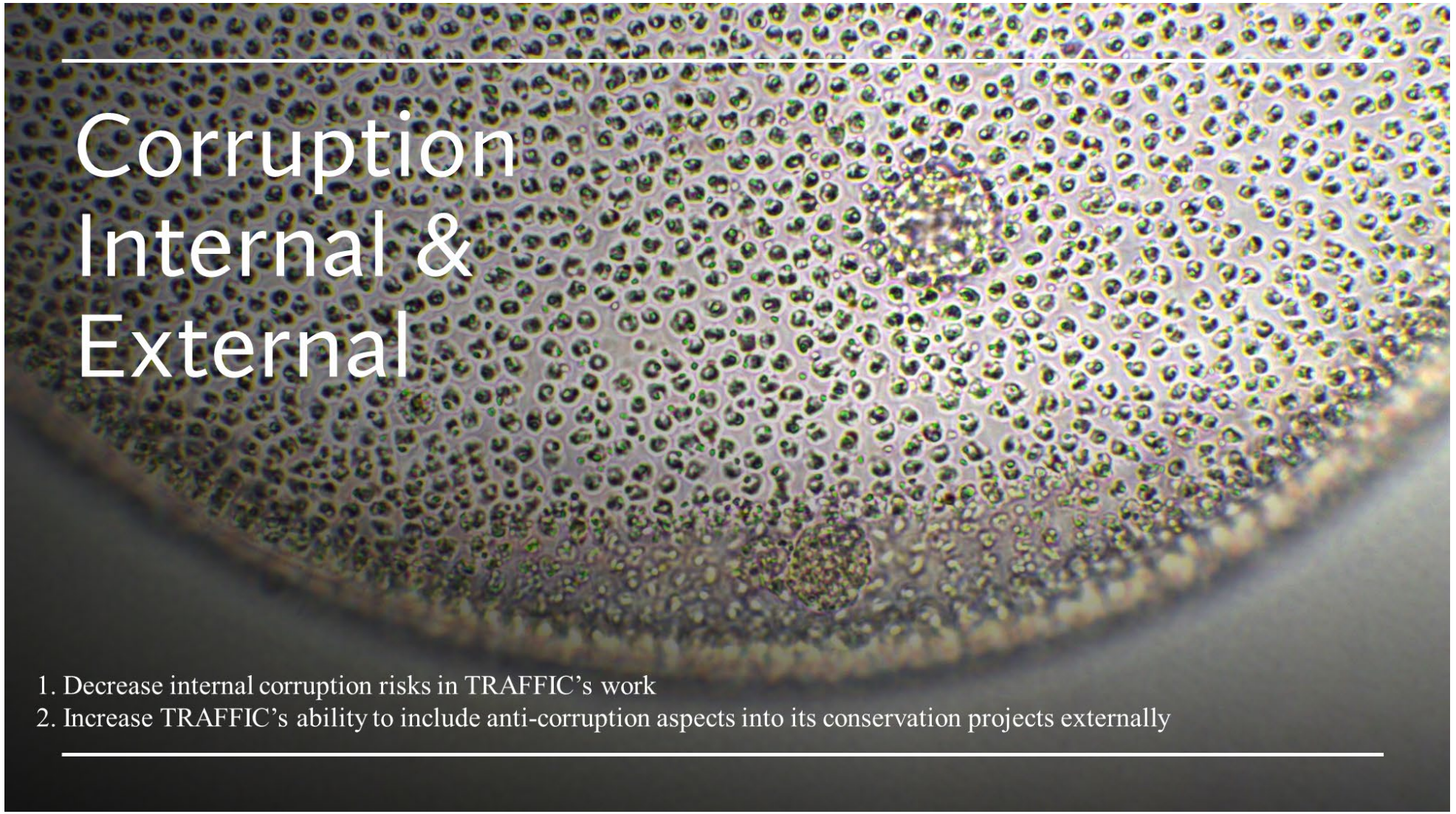
Outcomes and Key Messages

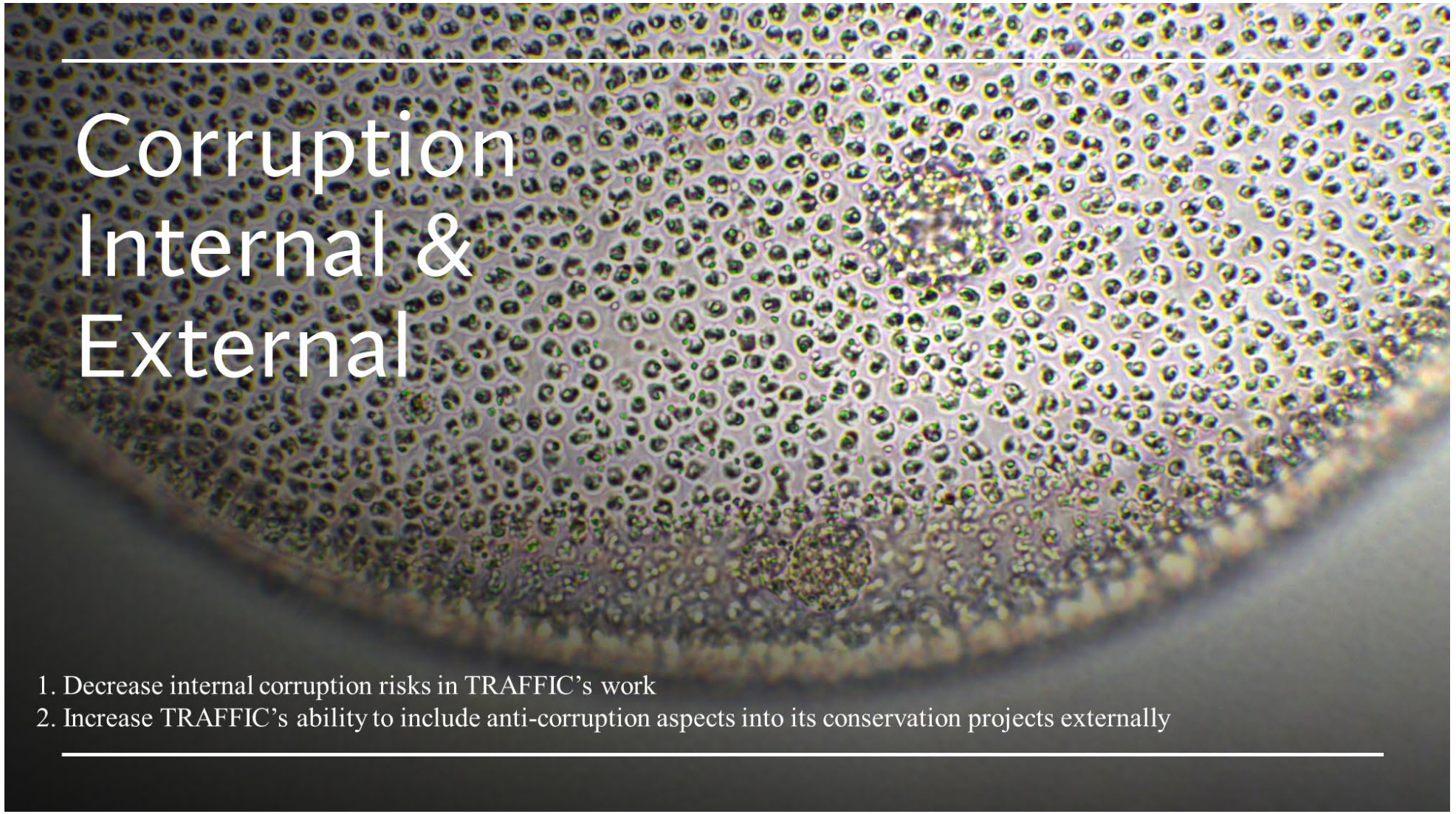
Part 1: Context

Part 2: Case-Studies

Part 3: Simulation

Part 4: Way Forward


Corruption
Internal &
External
1. Decrease internal corruption risks in TRAFFIC's work
2. Increase TRAFFIC's ability to include anti-corruption aspects into its conservation projects externally


Corruption
Internal &
External
1. Decrease internal corruption risks in TRAFFIC's work
2. Increase TRAFFIC's ability to include anti-corruption aspects into its conservation projects externally

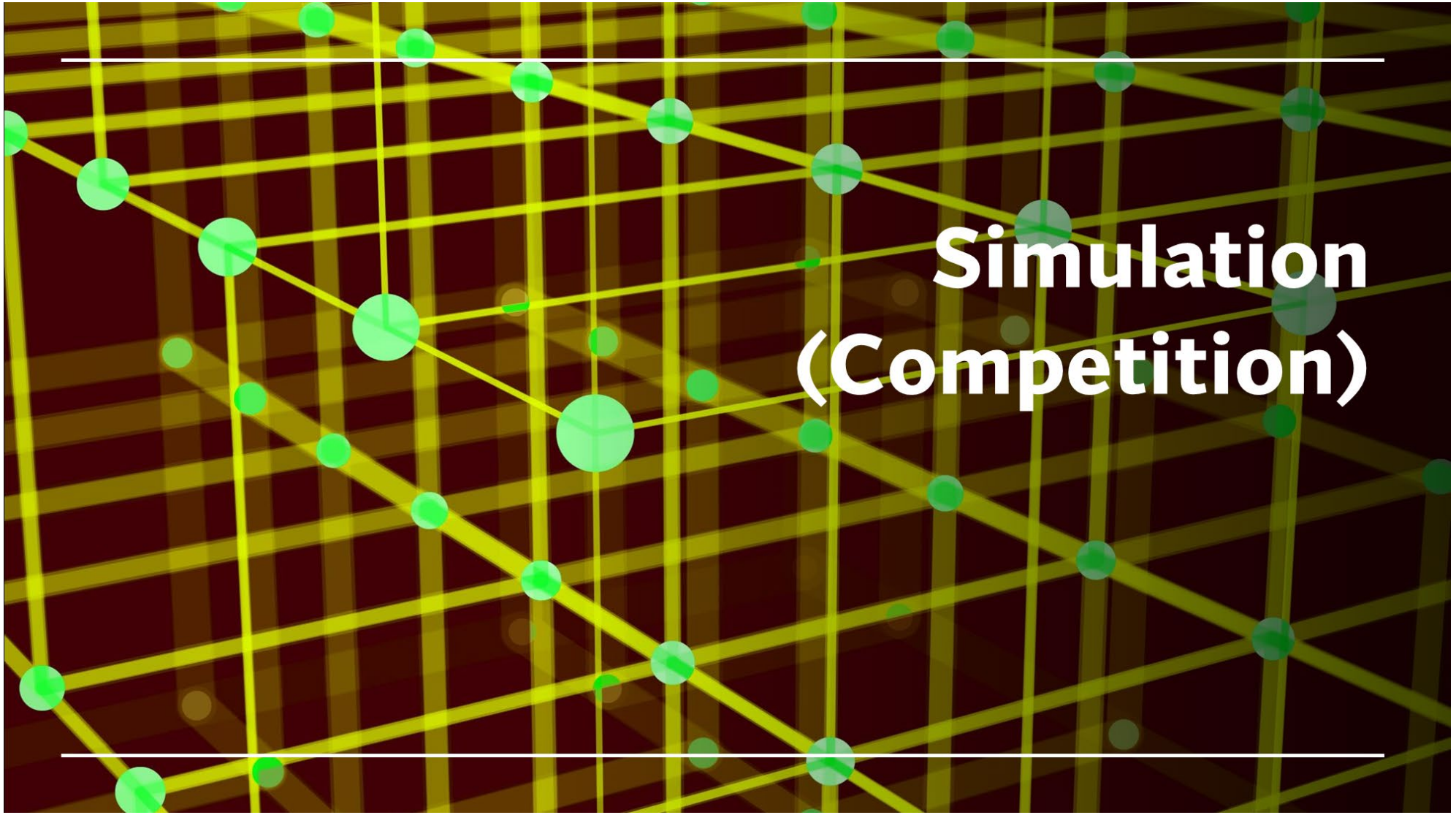
Criminal Masterplan

Rules

1. Work in pairs (or threes)
2. You're competing
3. Pick a 'victim'
4. Assume real world status
5. 5 minutes

Most 'evil & effective' plan wins

Corrupt us

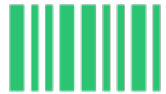

Simulation
(Competition)

Project Timeline

Project Proposal

Project Planning

Project Management



Implementation

Future

Final Output

- Competing presentations (no longer than 10 mins each)
- Team A presents, Team B challenges – vice versa
- Best team wins!

Outcomes

- Broad understanding of corruption, and awareness of different strategies and tools to combat it
- Improve understanding of key risks driving corruption
- How to recognize corruption behaviour around us (inside and outside of organization)
- How to act in the face of corruption
- So what to TRAFFIC policy and procedures?
- So what to TRAFFIC working practices and culture?

Can strengthening internal controls prevent corruption behind natural resource crimes?

Carlos Vargas
Head of Public Financial Management program,
Basel Institute on Governance, Peru

Can strengthening internal controls prevent corruption behind natural resource crimes?

Juhani Grossmann
Basel Institute on Governance

Dr. Rebecca Anne Batts
Basel Institute on Governance

Melinda Gularso
Basel Institute on Governance, Indonesia

Carlos Vargas
Basel Institute on Governance, Peru

Brighton Kumchedwa
Department of National Parks and Wildlife, Malawi

Christopher Jagger
TRAFFIC

Gabriel Sipos
TRAFFIC

POLL

After attending this webinar, would you say that you have:

- ☐ A better understanding of what an internal control assessment is and how it can be useful?
- ☐ A better understanding of how internal controls are used in natural resource management (NRM) currently?
- ☐ A better understanding of the risks and challenges in conducting internal control assessments?

Targeting Natural Resource Corruption

Harnessing knowledge, generating evidence, and supporting innovative policy and practice for more effective anti-corruption programming

© Georgina Goodwin / Shoot The Earth / WWF-UK; © Hkun Lat / WWF-Aus; © naturepl.com / Jen Guyton / WWF; © Brian J. Skerry / National Geographic Stock / WWF